

24. a) Explain how graphs can be used to model electronic mail messages in a network. Should the edges be directed or undirected? Should multiple edges be allowed? Should loops be allowed?
b) Describe a graph that models the electronic mail sent in a network in a particular week.
25. How can a graph that models e-mail messages sent in a network be used to find people who have recently changed their primary e-mail address?
26. How can a graph that models e-mail messages sent in a network be used to find electronic mail mailing lists used to send the same message to many different e-mail addresses?
27. Describe a graph model that represents whether each person at a party knows the name of each other person at the party. Should the edges be directed or undirected? Should multiple edges be allowed? Should loops be allowed?
28. Describe a graph model that represents a subway system in a large city. Should edges be directed or undirected? Should multiple edges be allowed? Should loops be allowed?
29. For each course at a university, there may be one or more other courses that are its prerequisites. How can a graph be used to model these courses and which courses are prerequisites for which courses? Should edges be directed or undirected? Looking at the graph model, how can we find courses that do not have any prerequisites and how can we find courses that are not the prerequisite for any other courses?
30. Describe a graph model that represents the positive recommendations of movie critics, using vertices to represent both these critics and all movies that are currently being shown.
31. Describe a graph model that represents traditional marriages between men and women. Does this graph have any special properties?
32. Which statements must be executed before S_6 is executed in the program in Example 8? (Use the precedence graph in Figure 10.)
33. Construct a precedence graph for the following program:
- $$S_1: x := 0$$
- $$S_2: x := x + 1$$
- $$S_3: y := 2$$
- $$S_4: z := y$$
- $$S_5: x := x + 2$$
- $$S_6: y := x + z$$
- $$S_7: z := 4$$
34. Describe a discrete structure based on a graph that can be used to model airline routes and their flight times. [Hint: Add structure to a directed graph.]
35. Describe a discrete structure based on a graph that can be used to model relationships between pairs of individuals in a group, where each individual may either like, dislike, or be neutral about another individual, and the reverse relationship may be different. [Hint: Add structure to a directed graph. Treat separately the edges in opposite directions between vertices representing two individuals.]
36. Describe a graph model that can be used to represent all forms of electronic communication between two people in a single graph. What kind of graph is needed?

10.2 Graph Terminology and Special Types of Graphs

Introduction

We introduce some of the basic vocabulary of graph theory in this section. We will use this vocabulary later in this chapter when we solve many different types of problems. One such problem involves determining whether a graph can be drawn in the plane so that no two of its edges cross. Another example is deciding whether there is a one-to-one correspondence between the vertices of two graphs that produces a one-to-one correspondence between the edges of the graphs. We will also introduce several important families of graphs often used as examples and in models. Several important applications will be described where these special types of graphs arise.

Links

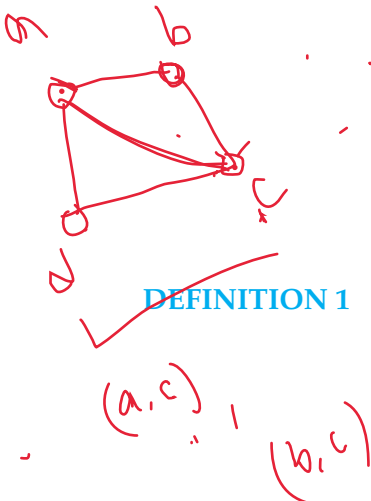


Basic Terminology

First, we give some terminology that describes the vertices and edges of undirected graphs.

DEFINITION 1

Two vertices u and v in an undirected graph G are called *adjacent* (or *neighbors*) in G if u and v are endpoints of an edge e of G . Such an edge e is called *incident with* the vertices u and v and e is said to *connect* u and v .



We will also find useful terminology describing the set of vertices adjacent to a particular vertex of a graph.

DEFINITION 2

The set of all neighbors of a vertex v of $G = (V, E)$, denoted by $N(v)$, is called the *neighborhood* of v . If A is a subset of V , we denote by $N(A)$ the set of all vertices in G that are adjacent to at least one vertex in A . So, $N(A) = \bigcup_{v \in A} N(v)$.

To keep track of how many edges are incident to a vertex, we make the following definition.

DEFINITION 3

The degree of a vertex in an undirected graph is the number of edges incident with it, except that a loop at a vertex contributes twice to the degree of that vertex. The degree of the vertex v is denoted by $\deg(v)$.

EXAMPLE 1

What are the degrees and what are the neighborhoods of the vertices in the graphs G and H displayed in Figure 1?

Solution: In G , $\deg(a) = 2$, $\deg(b) = \deg(c) = \deg(f) = 4$, $\deg(d) = 1$, $\deg(e) = 3$, and $\deg(g) = 0$. The neighborhoods of these vertices are $N(a) = \{b, f\}$, $N(b) = \{a, c, e, f\}$, $N(c) = \{b, d, e, f\}$, $N(d) = \{c\}$, $N(e) = \{b, c, f\}$, $N(f) = \{a, b, c, e\}$, and $N(g) = \emptyset$. In H , $\deg(a) = 4$, $\deg(b) = \deg(e) = 6$, $\deg(c) = 1$, and $\deg(d) = 5$. The neighborhoods of these vertices are $N(a) = \{b, d, e\}$, $N(b) = \{a, b, c, d, e\}$, $N(c) = \{b\}$, $N(d) = \{a, b, e\}$, and $N(e) = \{a, b, d\}$.

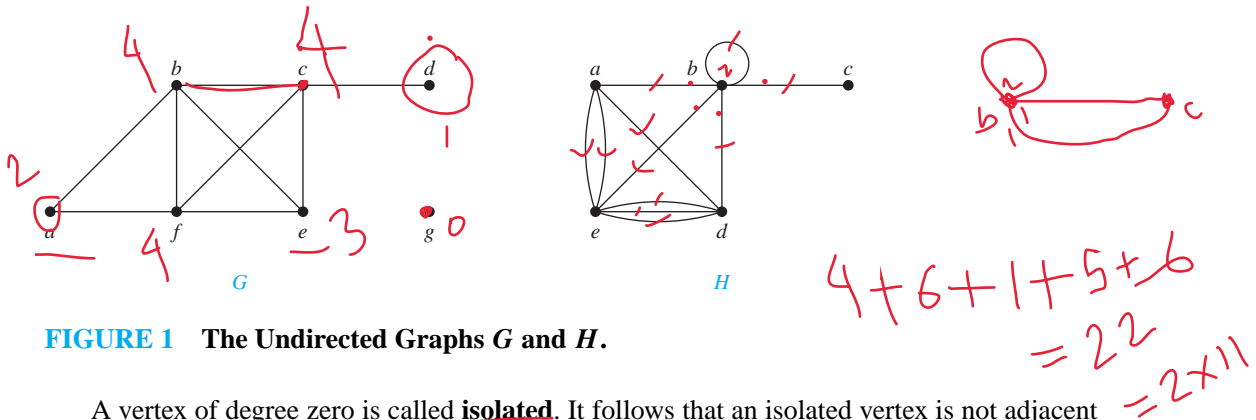


FIGURE 1 The Undirected Graphs G and H .

A vertex of degree zero is called **isolated**. It follows that an isolated vertex is not adjacent to any vertex. Vertex g in graph G in Example 1 is isolated. A vertex is **pendant** if and only if it has degree one. Consequently, a pendant vertex is adjacent to exactly one other vertex. Vertex d in graph G in Example 1 is pendant.

Examining the degrees of vertices in a graph model can provide useful information about the model, as Example 2 shows.

EXAMPLE 2

What does the degree of a vertex in a niche overlap graph (introduced in Example 11 in Section 10.1) represent? Which vertices in this graph are pendant and which are isolated? Use the niche overlap graph shown in Figure 11 of Section 10.1 to interpret your answers.

Solution: There is an edge between two vertices in a niche overlap graph if and only if the two species represented by these vertices compete. Hence, the degree of a vertex in a niche overlap graph is the number of species in the ecosystem that compete with the species represented by this vertex. A vertex is pendant if the species competes with exactly one other species in the

ecosystem. Finally, the vertex representing a species is isolated if this species does not compete with any other species in the ecosystem.

For instance, the degree of the vertex representing the squirrel in the niche overlap graph in Figure 11 in Section 10.1 is four, because the squirrel competes with four other species: the crow, the opossum, the raccoon, and the woodpecker. In this niche overlap graph, the mouse is the only species represented by a pendant vertex, because the mouse competes only with the shrew and all other species compete with at least two other species. There are no isolated vertices in the graph in this niche overlap graph because every species in this ecosystem competes with at least one other species.

What do we get when we add the degrees of all the vertices of a graph $G = (V, E)$? Each edge contributes two to the sum of the degrees of the vertices because an edge is incident with exactly two (possibly equal) vertices. This means that the sum of the degrees of the vertices is twice the number of edges. We have the result in Theorem 1, which is sometimes called the handshaking theorem (and is also often known as the handshaking lemma), because of the analogy between an edge having two endpoints and a handshake involving two hands.

THEOREM 1

THE HANDSHAKING THEOREM Let $G = (V, E)$ be an undirected graph with m edges. Then

$$2m = \sum_{v \in V} \deg(v).$$

(Note that this applies even if multiple edges and loops are present.)

EXAMPLE 3

How many edges are there in a graph with 10 vertices each of degree six?

Solution: Because the sum of the degrees of the vertices is $6 \cdot 10 = 60$, it follows that $2m = 60$ where m is the number of edges. Therefore, $m = 30$.

Theorem 1 shows that the sum of the degrees of the vertices of an undirected graph is even. This simple fact has many consequences, one of which is given as Theorem 2.

THEOREM 2

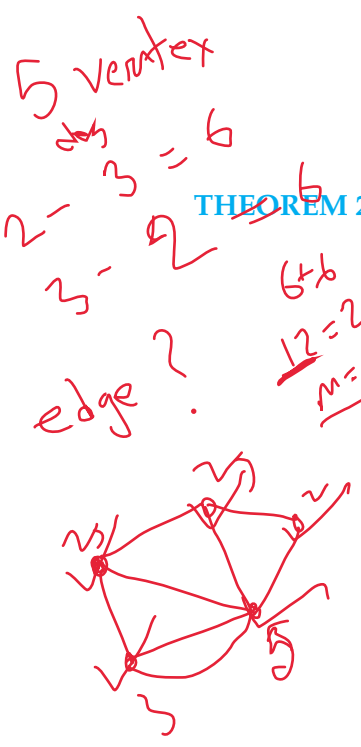
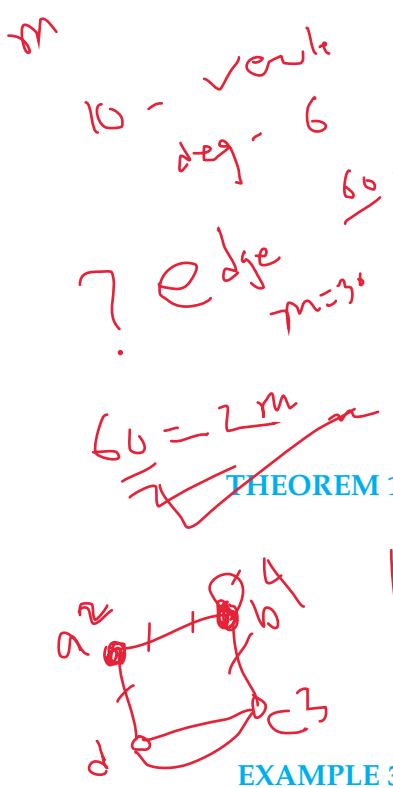
An undirected graph has an even number of vertices of odd degree.

Proof: Let V_1 and V_2 be the set of vertices of even degree and the set of vertices of odd degree, respectively, in an undirected graph $G = (V, E)$ with m edges. Then

$$2m = \sum_{v \in V} \deg(v) = \sum_{v \in V_1} \deg(v) + \sum_{v \in V_2} \deg(v).$$

Because $\deg(v)$ is even for $v \in V_1$, the first term in the right-hand side of the last equality is even. Furthermore, the sum of the two terms on the right-hand side of the last equality is even, because this sum is $2m$. Hence, the second term in the sum is also even. Because all the terms in this sum are odd, there must be an even number of such terms. Thus, there are an even number of vertices of odd degree.

Terminology for graphs with directed edges reflects the fact that edges in directed graphs have directions.



Home Work

DEFINITION 4

When (u, v) is an edge of the graph G with directed edges, u is said to be *adjacent to* v and v is said to be *adjacent from* u . The vertex u is called the *initial vertex* of (u, v) , and v is called the *terminal* or *end vertex* of (u, v) . The initial vertex and terminal vertex of a loop are the same.

Because the edges in graphs with directed edges are ordered pairs, the definition of the degree of a vertex can be refined to reflect the number of edges with this vertex as the initial vertex and as the terminal vertex.

DEFINITION 5

In a graph with directed edges the *in-degree* of a vertex v , denoted by $\deg^-(v)$, is the number of edges with v as their terminal vertex. The *out-degree* of v , denoted by $\deg^+(v)$, is the number of edges with v as their initial vertex. (Note that a loop at a vertex contributes 1 to both the in-degree and the out-degree of this vertex.)

EXAMPLE 4

Find the in-degree and out-degree of each vertex in the graph G with directed edges shown in Figure 2.

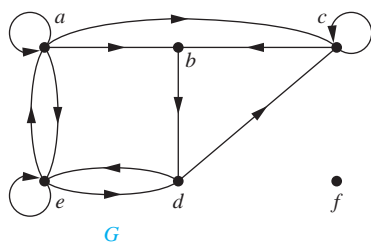


FIGURE 2 The Directed Graph G .

Solution: The in-degrees in G are $\deg^-(a) = 2$, $\deg^-(b) = 2$, $\deg^-(c) = 3$, $\deg^-(d) = 2$, $\deg^-(e) = 3$, and $\deg^-(f) = 0$. The out-degrees are $\deg^+(a) = 4$, $\deg^+(b) = 1$, $\deg^+(c) = 2$, $\deg^+(d) = 2$, $\deg^+(e) = 3$, and $\deg^+(f) = 0$. ◀

Because each edge has an initial vertex and a terminal vertex, the sum of the in-degrees and the sum of the out-degrees of all vertices in a graph with directed edges are the same. Both of these sums are the number of edges in the graph. This result is stated as Theorem 3.

THEOREM 3

Let $G = (V, E)$ be a graph with directed edges. Then

$$\sum_{v \in V} \deg^-(v) = \sum_{v \in V} \deg^+(v) = |E|.$$

There are many properties of a graph with directed edges that do not depend on the direction of its edges. Consequently, it is often useful to ignore these directions. The undirected graph that results from ignoring directions of edges is called the **underlying undirected graph**. A graph with directed edges and its underlying undirected graph have the same number of edges.

Some Special Simple Graphs

We will now introduce several classes of simple graphs. These graphs are often used as examples and arise in many applications.

EXAMPLE 5 Complete Graphs A **complete graph on n vertices**, denoted by K_n , is a simple graph that contains exactly one edge between each pair of distinct vertices. The graphs K_n , for $n = 1, 2, 3, 4, 5, 6$, are displayed in Figure 3. A simple graph for which there is at least one pair of distinct vertex not connected by an edge is called **noncomplete**. ◀

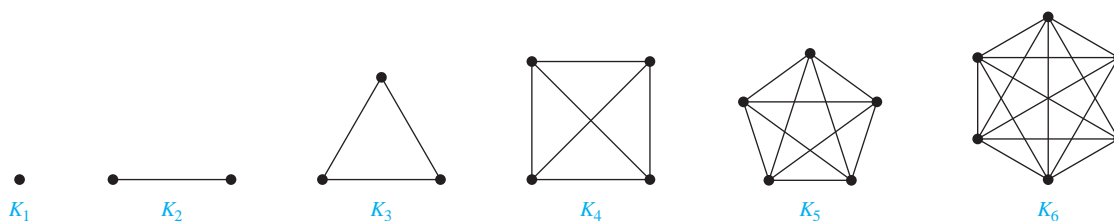


FIGURE 3 The Graphs K_n for $1 \leq n \leq 6$.

EXAMPLE 6 Cycles A **cycle C_n** , $n \geq 3$, consists of n vertices v_1, v_2, \dots, v_n and edges $\{v_1, v_2\}$, $\{v_2, v_3\}, \dots, \{v_{n-1}, v_n\}$, and $\{v_n, v_1\}$. The cycles C_3 , C_4 , C_5 , and C_6 are displayed in Figure 4. ◀

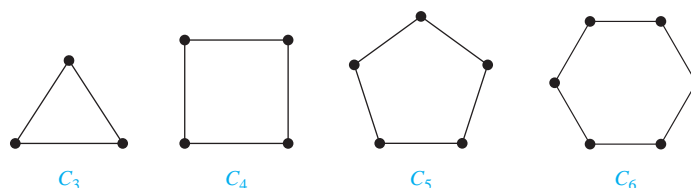


FIGURE 4 The Cycles C_3 , C_4 , C_5 , and C_6 .

EXAMPLE 7 Wheels We obtain a **wheel W_n** when we add an additional vertex to a cycle C_n , for $n \geq 3$, and connect this new vertex to each of the n vertices in C_n , by new edges. The wheels W_3 , W_4 , W_5 , and W_6 are displayed in Figure 5. ◀

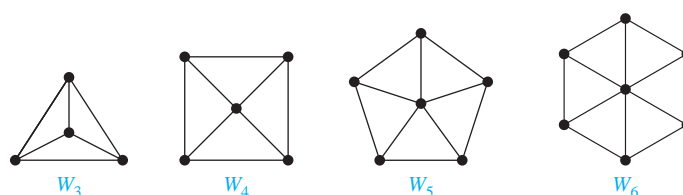


FIGURE 5 The Wheels W_3 , W_4 , W_5 , and W_6 .

EXAMPLE 8 n -Cubes An **n -dimensional hypercube**, or **n -cube**, denoted by Q_n , is a graph that has vertices representing the 2^n bit strings of length n . Two vertices are adjacent if and only if the bit strings that they represent differ in exactly one bit position. We display Q_1 , Q_2 , and Q_3 in Figure 6.

Note that you can construct the $(n + 1)$ -cube Q_{n+1} from the n -cube Q_n by making two copies of Q_n , prefacing the labels on the vertices with a 0 in one copy of Q_n and with a 1 in the other copy of Q_n , and adding edges connecting two vertices that have labels differing only in the first bit. In Figure 6, Q_3 is constructed from Q_2 by drawing two copies of Q_2 as the top and bottom faces of Q_3 , adding 0 at the beginning of the label of each vertex in the bottom face and 1 at the beginning of the label of each vertex in the top face. (Here, by *face* we mean a face of a cube in three-dimensional space. Think of drawing the graph Q_3 in three-dimensional space with copies of Q_2 as the top and bottom faces of a cube and then drawing the projection of the resulting depiction in the plane.) ◀

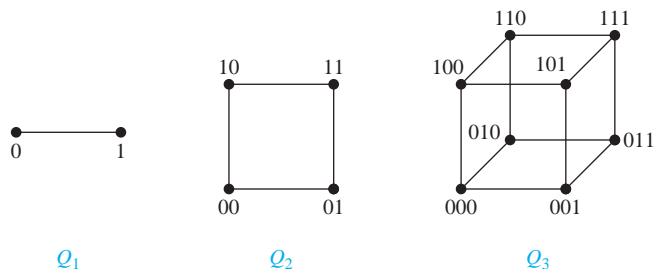


FIGURE 6 The n -cube Q_n , $n = 1, 2, 3$.

Bipartite Graphs



Sometimes a graph has the property that its vertex set can be divided into two disjoint subsets such that each edge connects a vertex in one of these subsets to a vertex in the other subset. For example, consider the graph representing marriages between men and women in a village, where each person is represented by a vertex and a marriage is represented by an edge. In this graph, each edge connects a vertex in the subset of vertices representing males and a vertex in the subset of vertices representing females. This leads us to Definition 5.

DEFINITION 6

A simple graph G is called *bipartite* if its vertex set V can be partitioned into two disjoint sets V_1 and V_2 such that every edge in the graph connects a vertex in V_1 and a vertex in V_2 (so that no edge in G connects either two vertices in V_1 or two vertices in V_2). When this condition holds, we call the pair (V_1, V_2) a *bipartition* of the vertex set V of G .

In Example 9 we will show that C_6 is bipartite, and in Example 10 we will show that K_3 is not bipartite.

EXAMPLE 9

C_6 is bipartite, as shown in Figure 7, because its vertex set can be partitioned into the two sets $V_1 = \{v_1, v_3, v_5\}$ and $V_2 = \{v_2, v_4, v_6\}$, and every edge of C_6 connects a vertex in V_1 and a vertex in V_2 .

EXAMPLE 10

K_3 is not bipartite. To verify this, note that if we divide the vertex set of K_3 into two disjoint sets, one of the two sets must contain two vertices. If the graph were bipartite, these two vertices could not be connected by an edge, but in K_3 each vertex is connected to every other vertex by an edge.

EXAMPLE 11

Are the graphs G and H displayed in Figure 8 bipartite?

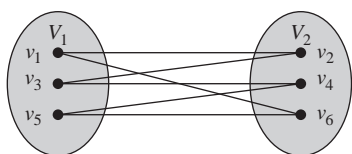


FIGURE 7 Showing That C_6 Is Bipartite.

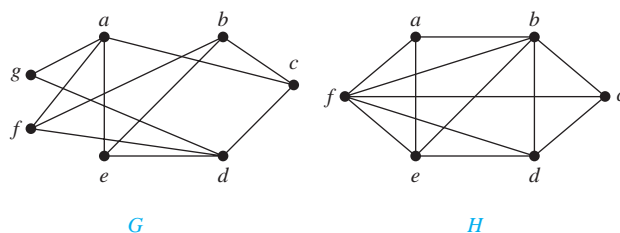


FIGURE 8 The Undirected Graphs G and H .

Solution: Graph G is bipartite because its vertex set is the union of two disjoint sets, $\{a, b, d\}$ and $\{c, e, f, g\}$, and each edge connects a vertex in one of these subsets to a vertex in the other subset. (Note that for G to be bipartite it is not necessary that every vertex in $\{a, b, d\}$ be adjacent to every vertex in $\{c, e, f, g\}$. For instance, b and g are not adjacent.)

Graph H is not bipartite because its vertex set cannot be partitioned into two subsets so that edges do not connect two vertices from the same subset. (The reader should verify this by considering the vertices a, b , and f .)

Theorem 4 provides a useful criterion for determining whether a graph is bipartite.

THEOREM 4

A simple graph is bipartite if and only if it is possible to assign one of two different colors to each vertex of the graph so that no two adjacent vertices are assigned the same color.

Proof: First, suppose that $G = (V, E)$ is a bipartite simple graph. Then $V = V_1 \cup V_2$, where V_1 and V_2 are disjoint sets and every edge in E connects a vertex in V_1 and a vertex in V_2 . If we assign one color to each vertex in V_1 and a second color to each vertex in V_2 , then no two adjacent vertices are assigned the same color.

Now suppose that it is possible to assign colors to the vertices of the graph using just two colors so that no two adjacent vertices are assigned the same color. Let V_1 be the set of vertices assigned one color and V_2 be the set of vertices assigned the other color. Then, V_1 and V_2 are disjoint and $V = V_1 \cup V_2$. Furthermore, every edge connects a vertex in V_1 and a vertex in V_2 because no two adjacent vertices are either both in V_1 or both in V_2 . Consequently, G is bipartite.

We illustrate how Theorem 4 can be used to determine whether a graph is bipartite in Example 12.

EXAMPLE 12

Use Theorem 4 to determine whether the graphs in Example 11 are bipartite.

Solution: We first consider the graph G . We will try to assign one of two colors, say red and blue, to each vertex in G so that no edge in G connects a red vertex and a blue vertex. Without loss of generality we begin by arbitrarily assigning red to a . Then, we must assign blue to c, e, f , and g , because each of these vertices is adjacent to a . To avoid having an edge with two blue endpoints, we must assign red to all the vertices adjacent to either c, e, f , or g . This means that we must assign red to both b and d (and means that a must be assigned red, which it already has been). We have now assigned colors to all vertices, with a, b , and d red and c, e, f , and g blue. Checking all edges, we see that every edge connects a red vertex and a blue vertex. Hence, by Theorem 4 the graph G is bipartite.

Next, we will try to assign either red or blue to each vertex in H so that no edge in H connects a red vertex and a blue vertex. Without loss of generality we arbitrarily assign red to a . Then, we must assign blue to b, e , and f , because each is adjacent to a . But this is not possible because e and f are adjacent, so both cannot be assigned blue. This argument shows that we cannot assign one of two colors to each of the vertices of H so that no adjacent vertices are assigned the same color. It follows by Theorem 4 that H is not bipartite.

Theorem 4 is an example of a result in the part of graph theory known as graph colorings. Graph colorings is an important part of graph theory with important applications. We will study graph colorings further in Section 10.8.

Another useful criterion for determining whether a graph is bipartite is based on the notion of a path, a topic we study in Section 10.4. A graph is bipartite if and only if it is not possible to start at a vertex and return to this vertex by traversing an odd number of distinct edges. We will make this notion more precise when we discuss paths and circuits in graphs in Section 10.4 (see Exercise 63 in that section).

EXAMPLE 13 Complete Bipartite Graphs A **complete bipartite graph** $K_{m,n}$ is a graph that has its vertex set partitioned into two subsets of m and n vertices, respectively with an edge between two vertices if and only if one vertex is in the first subset and the other vertex is in the second subset. The complete bipartite graphs $K_{2,3}$, $K_{3,3}$, $K_{3,5}$, and $K_{2,6}$ are displayed in Figure 9. ◀

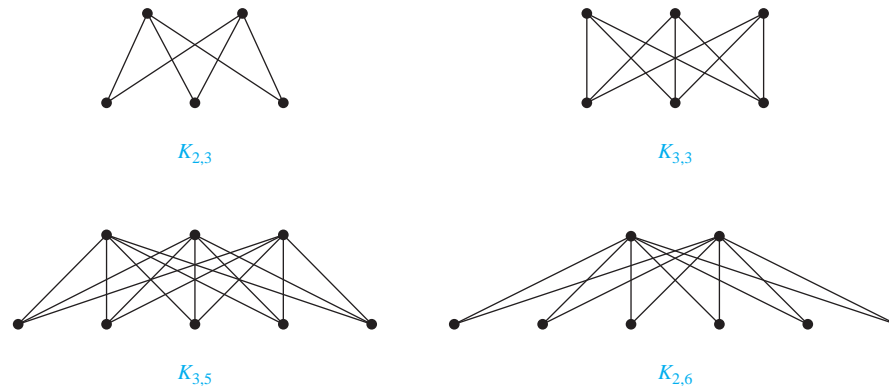


FIGURE 9 Some Complete Bipartite Graphs.

Bipartite Graphs and Matchings

Bipartite graphs can be used to model many types of applications that involve matching the elements of one set to elements of another, as Example 14 illustrates.

EXAMPLE 14 Job Assignments Suppose that there are m employees in a group and n different jobs that need to be done, where $m \geq n$. Each employee is trained to do one or more of these n jobs. We would like to assign an employee to each job. To help with this task, we can use a graph to model employee capabilities. We represent each employee by a vertex and each job by a vertex. For each employee, we include an edge from that employee to all jobs that the employee has been trained to do. Note that the vertex set of this graph can be partitioned into two disjoint sets, the set of employees and the set of jobs, and each edge connects an employee to a job. Consequently, this graph is bipartite, where the bipartition is (E, J) where E is the set of employees and J is the set of jobs. We now consider two different scenarios.

First, suppose that a group has four employees: Alvarez, Berkowitz, Chen, and Davis; and suppose that four jobs need to be done to complete Project 1: requirements, architecture, implementation, and testing. Suppose that Alvarez has been trained to do requirements and testing; Berkowitz has been trained to do architecture, implementation, and testing; Chen has been trained to do requirements, architecture, and implementation; and Davis has only been trained to do requirements. We model these employee capabilities using the bipartite graph in Figure 10(a).

Second, suppose that a group has second group also has four employees: Washington, Xuan, Ybarra, and Ziegler; and suppose that the same four jobs need to be done to complete Project 2 as are needed to complete Project 1. Suppose that Washington has been trained to do architecture; Xuan has been trained to do requirements, implementation, and testing; Ybarra has been trained to do architecture; and Ziegler has been trained to do requirements, architecture and testing. We model these employee capabilities using the bipartite graph in Figure 10(b).

To complete Project 1, we must assign an employee to each job so that every job has an employee assigned to it, and so that no employee is assigned more than one job. We can do this by assigning Alvarez to testing, Berkowitz to implementation, Chen to architecture, and Davis to requirements, as shown in Figure 10(a) (where blue lines show this assignment of jobs).

To complete Project 2, we must also assign an employee to each job so that every job has an employee assigned to it and no employee is assigned more than one job. However, this is

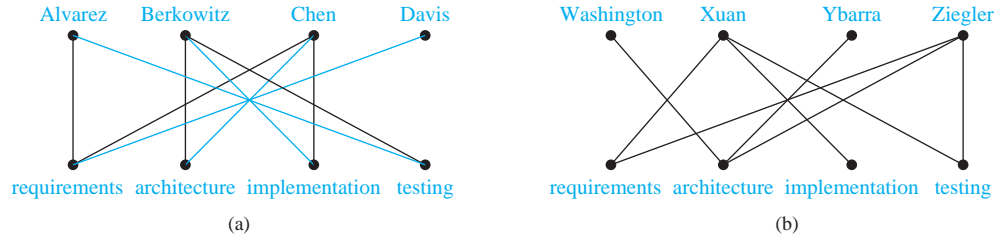


FIGURE 10 Modeling the Jobs for Which Employees Have Been Trained.

impossible because there are only two employees, Xuan and Ziegler, who have been trained for at least one of the three jobs of requirements, implementation, and testing. Consequently, there is no way to assign three different employees to these three job so that each job is assigned an employee with the appropriate training. ◀

Finding an assignment of jobs to employees can be thought of as finding a matching in the graph model, where a **matching** M in a simple graph $G = (V, E)$ is a subset of the set E of edges of the graph such that no two edges are incident with the same vertex. In other words, a matching is a subset of edges such that if $\{s, t\}$ and $\{u, v\}$ are distinct edges of the matching, then $s, t, u,$ and v are distinct. A vertex that is the endpoint of an edge of a matching M is said to be **matched** in M ; otherwise it is said to be **unmatched**. A **maximum matching** is a matching with the largest number of edges. We say that a matching M in a bipartite graph $G = (V, E)$ with bipartition (V_1, V_2) is a **complete matching from V_1 to V_2** if every vertex in V_1 is the endpoint of an edge in the matching, or equivalently, if $|M| = |V_1|$. For example, to assign jobs to employees so that the largest number of jobs are assigned employees, we seek a maximum matching in the graph that models employee capabilities. To assign employees to all jobs we seek a complete matching from the set of jobs to the set of employees. In Example 14, we found a complete matching from the set of jobs to the set of employees for Project 1, and this matching is a maximum matching, and we showed that no complete matching exists from the set of jobs to the employees for Project 2.

We now give an example of how matchings can be used to model marriages.

EXAMPLE 15 **Marriages on an Island** Suppose that there are m men and n women on an island. Each person has a list of members of the opposite gender acceptable as a spouse. We construct a bipartite graph $G = (V_1, V_2)$ where V_1 is the set of men and V_2 is the set of women so that there is an edge between a man and a woman if they find each other acceptable as a spouse. A matching in this graph consists of a set of edges, where each pair of endpoints of an edge is a husband-wife pair. A maximum matching is a largest possible set of married couples, and a complete matching of V_1 is a set of married couples where every man is married, but possibly not all women. ◀

NECESSARY AND SUFFICIENT CONDITIONS FOR COMPLETE MATCHINGS We now turn our attention to the question of determining whether a complete matching from V_1 to V_2 exists when (V_1, V_2) is a bipartition of a bipartite graph $G = (V, E)$. We will introduce a theorem that provides a set of necessary and sufficient conditions for the existence of a complete matching. This theorem was proved by Philip Hall in 1935.

Hall's marriage theorem is an example of a theorem where obvious necessary conditions are sufficient too.

THEOREM 5 **HALL'S MARRIAGE THEOREM** The bipartite graph $G = (V, E)$ with bipartition (V_1, V_2) has a complete matching from V_1 to V_2 if and only if $|N(A)| \geq |A|$ for all subsets A of V_1 .